

This Text Book is useful for Savitribai Phule Pune University and other Universities of well as Competitive Exams.

Financial Services

(FM-406 B)

S.Y.B.B.A.(Sem. - IV)

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Preface

It is a matter of great pleasure for us to present this book to our esteemed readers. This book has been designed as standard text on 'Financial Services' for S.Y.B.B.A.(Sem. - IV).

This book comprehensively covers the entire syllabus of S.Y.B.B.A. (Sem. - IV). Financial Services Course of Savitribai Phule Pune University effective from 2020 onwards. It has been written to meet the requirements of students of B.B.A. Some of the special features of the book are as follows:

- 1. Full coverage of the revised syllabus of S.Y.B.B.A (Sem. - IV).*
- 2. Chapter outline at the beginning of each chapter to give a bird's eye view of the topics covered in the chapter.*
- 3. Point wise explanation of each topic in the chapter.*
- 4. Topics are logically arranged in numbered paragraphs exactly according to the modified syllabus.*
- 5. Proposed questions at the end of each chapter.*
- 6. Extensive use of diagrams, tables and various forms to give visual view of key concepts and techniques.*
- 7. Conversational, lucid and simple language.*

Every effort has been made to provide the readers with most up-to-date and authentic material on the subject.

We are very grateful to our publisher Mrs. and Mr. Rajesh Patne who have rendered all possible assistance in bringing out this book. We wish to acknowledge our deep gratitude to staff that has assisted and helped us in preparing this book. We will consider our efforts amply rewarded in case the book proves useful to the students and teachers of the subject.

Suggestions of readers are welcome and shall be acknowledged with gratitude.

With best wishes.

By Authors

Syllabus

S.Y.B.B.A. (Sem. - IV) Financial Services (FM-406 B)

Unit No.	Topic
1	Indian Financial System : An Overview <ul style="list-style-type: none">• Introduction to Indian Financial System• Structure of Financial system- financial institutions, markets, financial instruments and financial services.• Overview of Indian Financial System• Financial intermediaries in Financial System- Merchant Bankers, underwriters, depositors, Brokers, Sub brokers, bankers.
2	Fundamental of Financial Markets <ul style="list-style-type: none">• Primary Markets: Meaning, functions, Role in Economic Development.• Secondary markets: Meaning, functions, Role in Economic Development.• Issue & Management of IPOs• Role of stock Exchanges in economic development. BSE, NSE, OTCEI- Functions.• Money Market Instruments.• Difference between Money Market & Capital Market.
3	Financial Services in India and Derivatives and Commodity Market <ul style="list-style-type: none">• Mutual Funds- Meaning, Types, Advantages and Disadvantages, Factors affecting investment in mutual fund. Mutual fund investment- Selection of best mutual funds.• Factoring- Meaning, types, advantages and disadvantages.• Venture Capital- meaning, importance, process.• Credit rating Agencies-Importance & Role. Derivatives- Meaning & Definition, Importance.• Future and Options- meaning, types, importance.• basics of Future, Forward, Option contracts, Swaps, Hedging, Swap etc.• Commodities, Multi Commodity Exchange of India Limited (MCX)- Functioning & Importance.• Exchange traded funds- Functioning & Importance.
4	Computer laboratory Work

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2	Fundamental of Financial Markets	2.1 to 2.29
	2.1 Primary Markets 2.2 Secondary Markets 2.3 Issue and Management of IPOs 2.4 Role of Stock Exchanges in Economic Development 2.5 Money Market Instruments 2.6 Difference between Money Market and Capital Market	
3	Financial Services in India and Derivatives and Commodity Market	3.1 to 3.30
	3.1 Mutual Funds 3.2 Factoring 3.3 Venture Capital 3.4 Credit Rating Agencies 3.5 Future and Options 3.6 Multi Commodity Exchange of India Limited (MCX) 3.7 Exchange Traded Funds	
4	Computer Laboratory Work	4.1 to 4.2